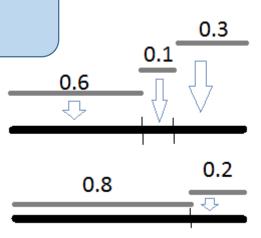
Improved Approximation Algorithm for Two-Dimensional Bin Packing

#### Arindam Khan (Georgia Tech)

Joint work with Nikhil Bansal (TU Eindhoven)

## Bin Packing Problem: (One Dimension)

- Given : *n* items with sizes  $s_1, s_2 \dots s_n$ , s.t.  $s_i \in (0,1]$
- Goal: Pack all items into min # of unit bins.
- Example: items {0.8, 0.6, 0.3, 0.2, 0.1} can be packed in 2 unit bins: {0.8, 0.2} and {0.6, 0.3, 0.1}.
- NP Hardness from Partition
- Cannot distinguish in poly time if need 2 or 3 bins
- This does not rule out OPT+1 guarantee.
- Insightful to consider Asymptotic approximation ratio.



## Asymptotic Approximation Ratio

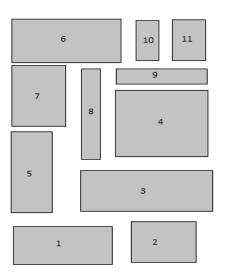
• (Absolute) Approximation Ratio:  $\max_{I} \{Algo(I)/OPT(I)\}$ 

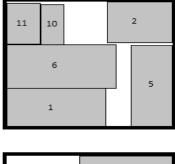
• Asymptotic Approximation Ratio (AAR):  $\lim_{\{n \to \infty\}} \sup\{ \frac{Algo(I)}{OPT(I)} | OPT(I) = n \}$ 

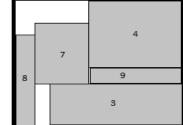
- AAR  $\rho \Rightarrow Algo(I) = \rho.OPT(I) + O(1).$
- Asymptotic Polynomial Time Approximation Scheme (APTAS): If  $Algo(I) = (1 + \epsilon) Opt(I) + f(\epsilon)$
- 1 D Bin Packing: AAR *OPT* + *logOPT*. *loglogOPT* [Rothvoss FOCS'13]

### Two-Dimensional Geometric Bin Packing

- Given: Collection of rectangles(by width, height)
- Goal: Pack them into minimum number of unit square bins.
- Orthogonal Packing: rectangles packed parallel to bin edges.
- With 90 degree Rotations and without rotations.







## Applications:

- Cloth cutting, steel cutting, wood cutting
- Placing ads in newspapers
- Memory allocation in paging systems

Plans Sources Parts Settings Results				
Settings of plan 4 - Demo - Cost optimization	-		🌍 Materi	ai
Saw kerf (horizon, cuts) Saw kerf (horizon, cuts) Type of cutting	Left trim cut Right trim cut Top trim cut Bottom trim cut		Cut table length	
Guillotine Remnant minimum length Remnant minimum width Cut table length Cut table width Minimum length of roll Maximum new roll count Max slitting change count Group optimization Parts orc	Grip size     Grip size     Rem. production rate     Storage for new rems     Horizon, knife count     Vertical knife count     Min repeat of layout     Small sources rate der in reports X ascending, Y a		Out table width	Saw kerf ho

- Truck Loading
- Palletization by robots



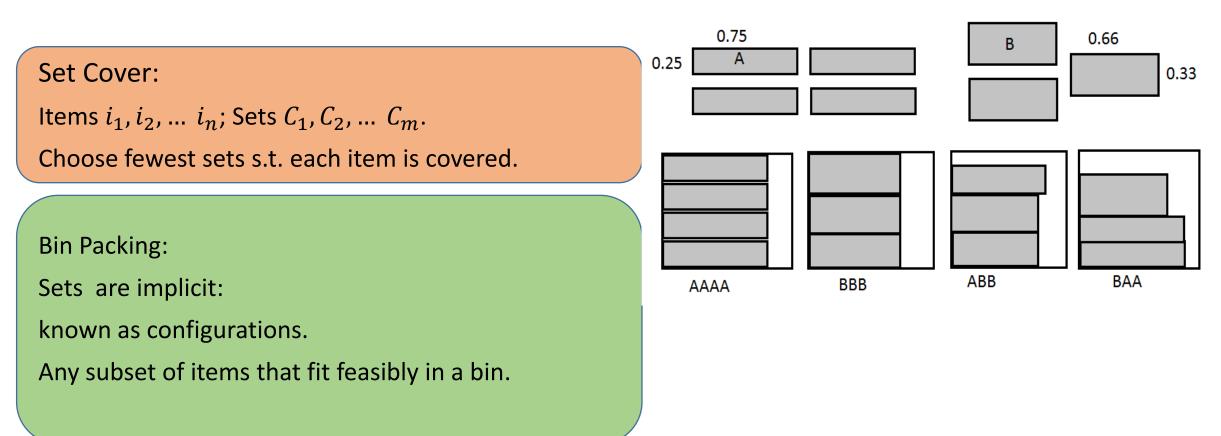
# A tale of Approximibility

- Reduction from *Partition:* NP-hard to decide if we need 1 or 2 bins to pack all rectangles.
- Tight 2 (absolute) approximation Algorithm. [Harren VanStee Approx 2009]
- Algorithm: (Asymptotic Approximation)
- 2.125 [Chung Garey Johnson SIAM JADM1982]
- $2+\epsilon$  [Kenyon Remilla FOCS 1996]
- 1.69 [Caprara FOCS 2002]
- 1.52 [Bansal-Caprara-Sviridenko FOCS 2006]
- 1.5 [Jansen-Praedel SODA 2013]
- Hardness:
- No APTAS (from 3D Matching)[Bansal-Sviridenko SODA 2004],
- 3793/3792(with rotation), 2197/2196(w/o rotation) [Chlebik-Chlebikova]

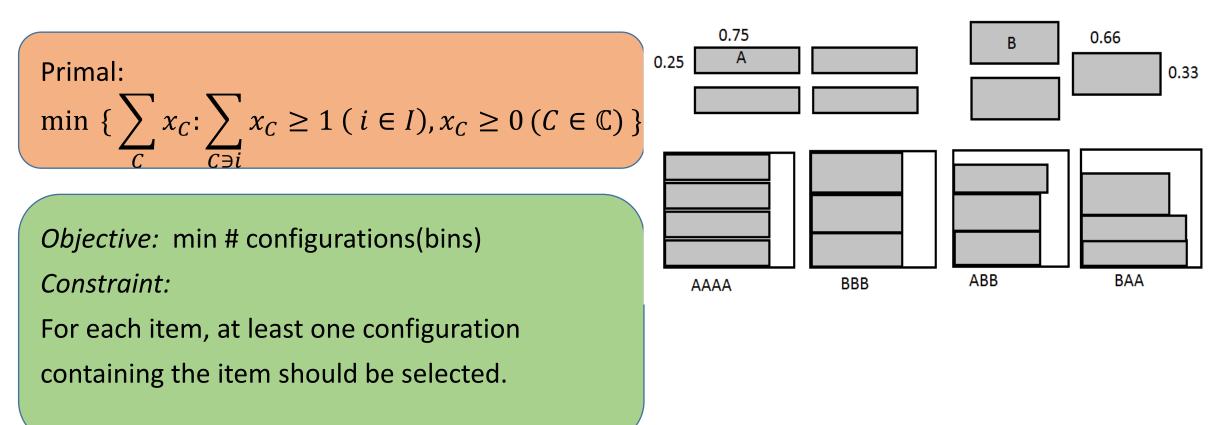
## Our Results: [Bansal,K.]

- Algorithm:
- $(1 + \ln(1.5)) = 1.405$  Approximation Algorithm.
  - using Round & Approx framework for rounding based algorithms.
  - Jansen-Praedel 1.5 approximation algorithm as a subroutine.
- Hardness:
- 4/3 for constant number of rounding based algorithm.
- 3/2 for *input agnostic* rounding based algorithms.

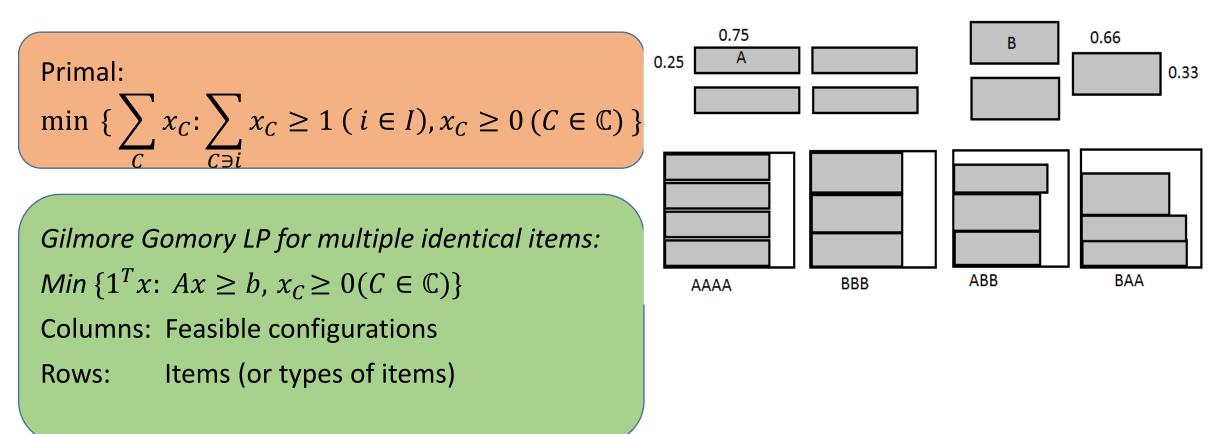
• Bin packing problem can be viewed as a special case of set cover.



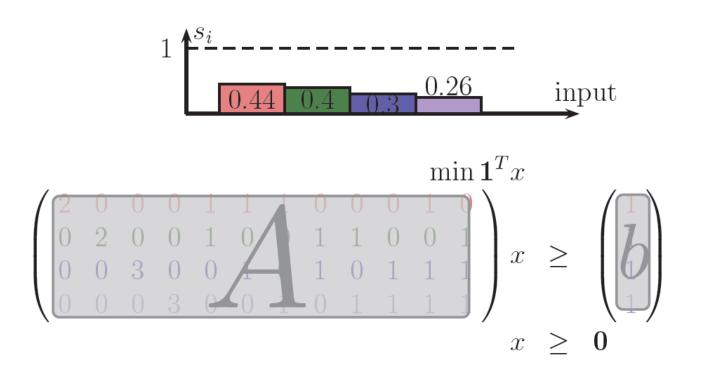
• *C: set of configurations(possible way of feasibly packing a bin).* 



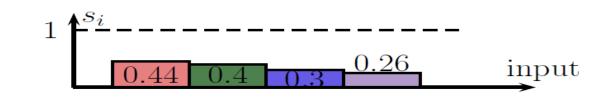
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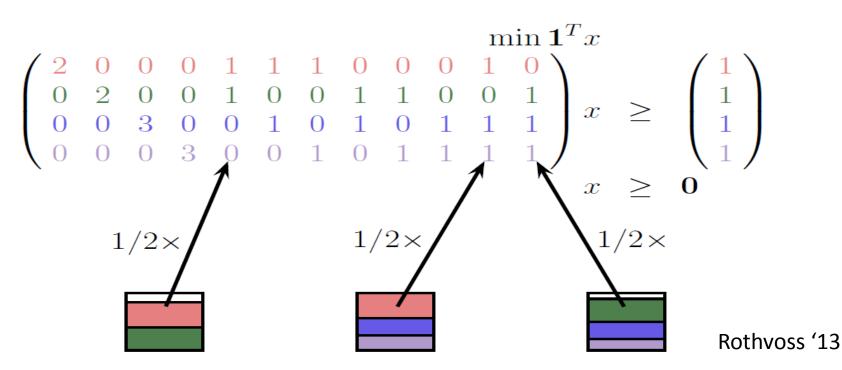


Gilmore Gomory LP: Min { $1^T x$ :  $Ax \ge b$ ,  $x_C \ge 0(C \in \mathbb{C})$ }



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12

• *C: set of configurations(possible way of feasibly packing a bin).* 

Primal:  
min { 
$$\sum_{C} x_{C}$$
:  $\sum_{C \ni i} x_{C} \ge 1 \ (i \in I), x_{C} \ge 0 \ (C \in \mathbb{C})$  }  
Dual:  
max {  $\sum_{i \in I} v_{i}$ :  $\sum_{i \in \mathbb{C}} v_{i} \le 1 \ (C \in \mathbb{C}), v_{i} \ge 0 \ (i \in I)$ }

Dual Separation problem => 2-D Geometric Knapsack problem: Given one bin, pack as much area as possible. [BCJPS ISAAC 2009]

- Problem: Exponential number of configurations!
- Solution: Can be solved within  $(1 + \epsilon)$  accuracy using separation problem for the dual.

## General Framework [BCS FOCS 06]

- Given a packing problem
- 1. If can solve the configuration LP

$$\min \left\{ \sum_{C} x_{C} : \sum_{C \ni i} x_{C} \ge 1 \ (i \in I), x_{C} \ge 0 \ (C \in \mathbb{C}) \right\}$$

- 2. There is a p approximation subset-oblivious algorithm.
- Then there is  $(1+\ln \rho)$  approximation.

#### Subset Oblivious Algorithms

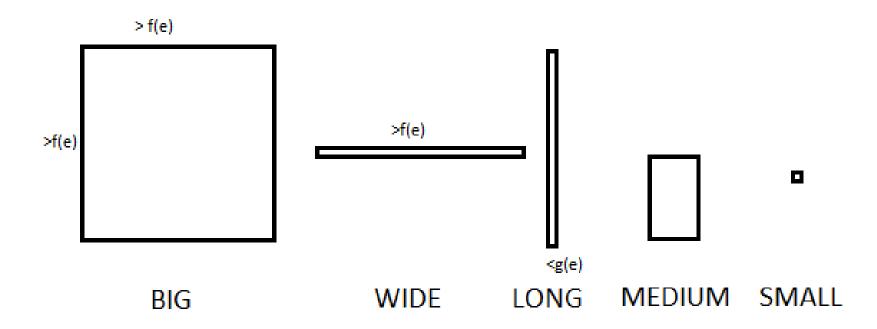
- There exist k weight  $(n \dim)$  vectors  $w^1, w^2 \dots w^k$  s.t. For every subset of items  $S \subseteq I$ , and  $\varepsilon > 0$
- 1)  $OPT(I) \ge \max_{j} \left( \sum_{i \in I} W_{i}^{j} \right)$ 2)  $Alg(S) \le \rho \max_{j} \left( \sum_{i \in S} W_{i}^{j} \right) + \varepsilon OPT(I) + O(1)$
- Based on dual weight function.
- Cumbersome and Complex!

## Rounding based Algorithms:

- Our Key Contribution:
  - Subset Oblivious techniques work for any rounding based algorithms.
- Rounding based algorithms are ubiquitous in bin packing.
- Items are replaced by slightly larger items from O(1) types to reduce # item types and thus #configurations.
- Example: Linear grouping, Geometric Grouping, Harmonic Rounding.
- Jansen Praedel 1.5 Approximation algorithm is a nontrivial rounding based algorithm.

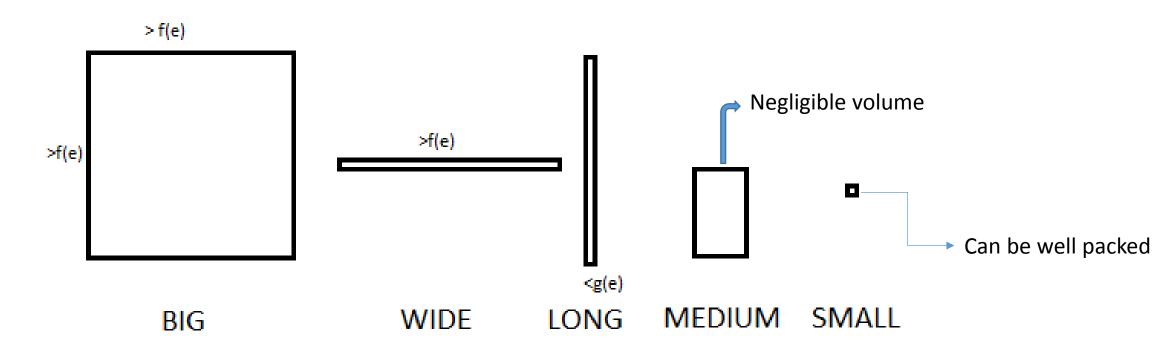
## Rounding based Algorithms

• Classification of items into big, wide, long, medium and small by defining two parameters  $f(\epsilon)$  and  $g(\epsilon)(\ll f(\epsilon))$  such that total volume of medium rectangles is  $\epsilon$ . *Vol(I*).



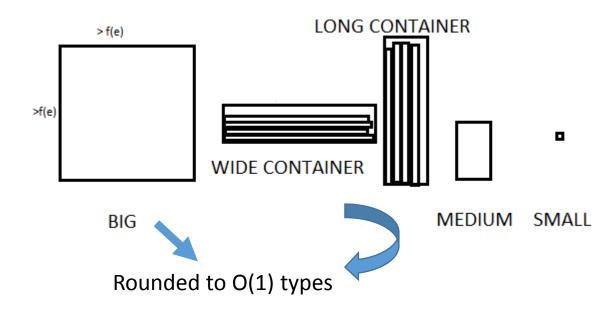
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#### Rounding based Algorithms

• Classification of items into big, wide, long, medium and small by defining two parameters  $f(\epsilon)$  and  $g(\epsilon)(\ll f(\epsilon))$  such that total volume of medium rectangles is  $\epsilon$ . Vol(I).



Skewed items are packed into containers where

- (i) it has all items of the same type,
- (ii) has large size in each dimensionsand

(iii) items are packed into containers with a negligible loss of volume.

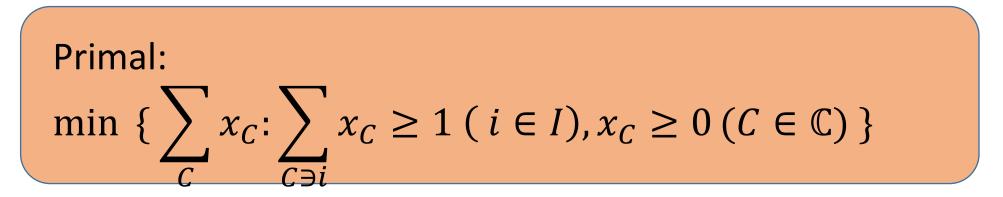
#### Round and Approx Framework (R & A)

#### • 1. Solve configuration LP using APTAS. Let $z^* = \sum_{\{C \in \mathbb{C}\}} x_C^*$ .

Primal:  
min { 
$$\sum_{C} x_{C}$$
:  $\sum_{C \ni i} x_{C} \ge 1$  ( $i \in I$ ),  $x_{C} \ge 0$  ( $C \in \mathbb{C}$ ) }

### Round and Approx Framework (R & A)

- 1. Solve configuration LP using APTAS. Let  $z^* = \sum_{\{C \in \mathbb{C}\}} x_C^*$ .
- 2. Randomized Rounding: For q iterations : select a configuration C' at random with probability  $\frac{x_{C'}^*}{z^*}$ .



## Round and Approx Framework (R & A)

- 1. Solve configuration LP using APTAS. Let  $z^* = \sum_{\{C \in \mathbb{C}\}} x_C^*$ .
- 2. Randomized Rounding: For q iterations : select a configuration C' at random with probability  $\frac{x_{C'}^*}{a^*}$ .
- 3. Approx: Apply a  $\rho$  approximation rounding based algorithm A on the residual instance S.
- 4. Combine: the solutions from step 2 and 3.

#### R & A Rounding Based Algorithms

• Probability item *i* left uncovered after rand. rounding

$$= \left(1 - \sum_{\{C \ni i\}} \frac{x_C^*}{z^*}\right)^q \leq \frac{1}{\rho} \text{ by choosing } q = \left[(\ln \rho) LP(I)\right].$$

- Number of items of each type shrinks by a factor  $\rho$ e.g.,  $\mathbb{E}[|B_j \cap S|] = \frac{|B_j|}{\rho}$ .
- Concentration using Chernoff bounds.

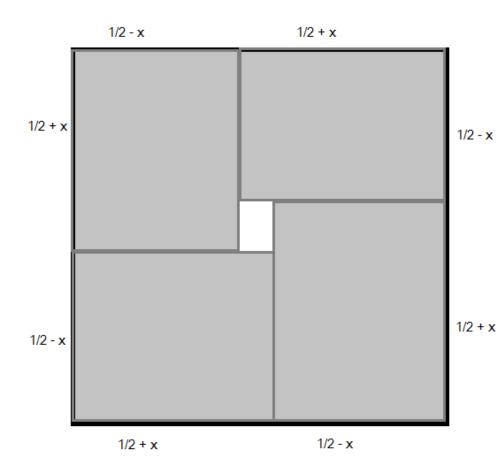
#### Proof Sketch

- Rounding based Algo : O(1) types of items = O(1) number of constraints in Configuration LP.
- $ALGO(S) \approx OPT(\tilde{S}) \approx LP(\tilde{S}).$
- As # items for each item type shrinks by  $\rho$ ,  $LP(\tilde{S}) \approx \frac{1+\epsilon}{\rho} LP(\tilde{I})$ .
- $\rho$  Approximation:  $LP(\tilde{I}) \leq \rho \ OPT(I) + O(1)$ .
- $ALGO(S) \approx OPT(\tilde{S}) \approx OPT(I)$ .

#### Proof Sketch

- Thm: R&A gives a  $(1 + \ln \rho)$  approximation.
- Proof:
- Randomized Rounding :  $q = \ln \rho . LP(I)$
- Residual Instance S =  $(1 + \epsilon)OPT(I) + O(1)$ .
- Round + Approx =>  $(\ln \rho + 1 + \epsilon)OPT(I) + O(1)$ .

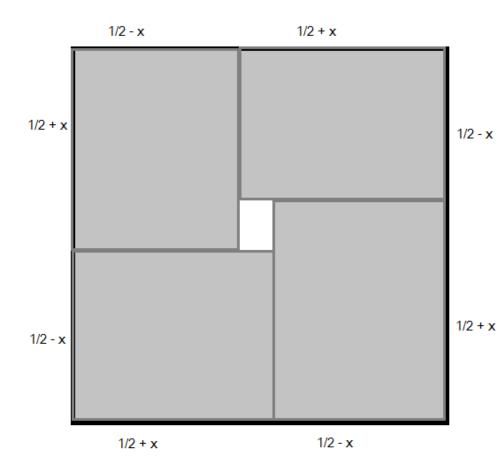
## Hardness of 4/3: for Constant Rounding.



Items are rounded to O(1) types.

Only three rounded items can be packed in a bin.

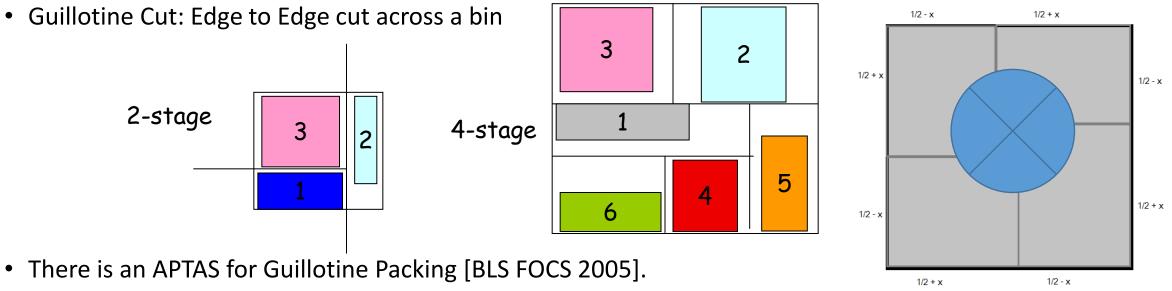
## Hardness of 3/2: for Input-Agnostic Rounding



- Input agnostic rounding: Items are rounded to values independent of input values (e.g. Harmonic rounding, JP rounding).
- At least 3m/2 bins are needed to pack 4m such items.

#### Open Problems:

- Settle the gap between upper bound(1.405) and lower bound(1.0003).
- A 4/3 approximation algorithm based on constant rounding. => 1+ln(4/3) using R&A



• Settle the ratio between best Guillotine Packing and best 2D general packing :

lower bound 1.33 and upper bound 1.69.



#### Questions!

#### 1/10/2014